

Great Rivers Behavioral Health Administrative Services Organization

Policy Title:	Technical Safeguards – Audit Controls and Internal Audit Process and Security Incident Procedures	Policy No. 5022.00
Category:	HIPAA Privacy & Security	Date Adopted: 02/14/2020 Date Revised:
Reference:	Washington Health Care Authority Contract with Great Rivers Behavioral Health Organization; <ul style="list-style-type: none"> ▪ ARRA Title XIII Section 13402 – Notification in the Case of Breach ▪ RCW 42.56.590 – Personal Information – Notice of security breaches. ▪ RCW 19.255.010 – Disclosure, notice – Definitions – Rights, remedies. 45 CFR Parts 160 and 164 – HIPAA Privacy and Security Rules; 45 CFR 164.306 and 45 CFR 164.308	

Policy:

- 1.1. Great Rivers Behavioral Health Administrative Services Organization (Great Rivers BH-ASO) will review records of systems activity through techniques such as log-ins, file accesses, and security incident reports for ePHI and PHI as reasonably appropriate. Great Rivers BH-ASO will prioritize identifying security breaches and assuring users comply with access controls. Great Rivers BH-ASO will assign specific individuals or job functions that will be responsible for such internal audit activity.
- 1.2. Great Rivers BH-ASO will establish audit controls that will define users, data sources, data accessed, the client, the date and time of the access, and other information Great Rivers BH-ASO considers appropriate.
- 1.3. Great Rivers BH-ASO will establish procedures to audit configuration management practices that have been established to assure that changes to hardware and software systems do not contribute to or create security weaknesses.
- 1.4. Access to audit logs will typically be limited to those assigned to the internal audit and control function Senior management and compliance personnel may access for investigatory purposes.

POLICY SIGNATURE

DocuSigned by:
Edna J. Fund
3731C87058C2465

Edna J. Fund, Chair
Great Rivers BH-ASO Governing Board

4/14/2020

Date